PTO/SB/21 (08-03) (AW 10/2003)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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Application Number	10/678,367	
Filing Date	October 3, 2003	
First Named Inventor	Noureddine Melikechi	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No.	DSU-102US	

Total Number of Pages in This Submission 22			Attorney Docket No.	DSU-10	2US	
	E	NCLOSUF	RES (Check all that	apply)	-	
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/Decl Extension of Time R Express Abandonme Information Disclosu Certified Copy of Price Response to Missing Incomplete Application	n laration(s) equest ent Request re Statement ority Document(s) g Parts/ on	Drav Licer Petit Prov Pow Chai Addr Term Requ	ring(s) asing-related Papers on on to Convert to a asional Application er of Attorney, Revocatinge of Correspondence	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Form 1449 (2 pages), Non-patent references (4), Return Receipt Card		
	SIGNATURE	OF APPLI	CANT, ATTORNEY	OR AGI	ENT	
Firm or Individual Signature Date January 12.	Stituti		Registration No. (Atto	orney/Ag	ent) 2	8,028
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/	CERTIFI	CATE OF 1	RANSMISSION / MA	AILING		
I hereby certify that this corresponds as first class mail in an VA 22313-1450 on this date: Name (Print/Type)						January 12, 2004
Signature	Luce Cur	ran			Date	January 12, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/678,367

Applicant:

Noureddine Melikechi

Filed:

October 3, 2003

Title:

INTERFEROMETRIC ANALOG OPTICAL MODULATOR FOR SINGLE MODE

FIBERS

TC/A.U.:

Unknown

Examiner:

Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Costas S. Krikelis, Reg. No. 28,028 Attorney for Applicant

CSK:rc

Enclosures: PTO Form 1449

Transmittal Form

Non-patent Literature Documents (4)

Dated: January 12, 2004

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Valley Forge, PA 19482
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✓ P.O. Box 1596✓ Wilmington, DE 19899(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 12, 2004

Ruth Curran

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IT & Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known	
Application Number	10/678,367	
Filing Date	October 3, 2003	
First Named Inventor	Noureddine Melikechi	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No	DSU-102US	

			U.S. PATEN	NT DOC	UMENTS			
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Da (MM-DD-YYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear	
	01	US-RE 34,604	05/10/1994	St	aw		-	
	02	US-4,368,981	01/18/1983		eki			
	03	US-4,784,454	11/15/1988		ott .			
	04	US-4,956,834	09/11/1990		leman			
	05	US-4,991,922	02/12/1991		hlgren			
	06	US-5,276,745	01/04/1994		velli, Jr.			
	07	US-6,490,068 B1	12/03/2002	He	flinger et	al		
	08	US-6,493,127 B2	12/10/2002		palakrish			
	09	US-6,522,449 B2	02/18/2003		sson et al			
	10	US-6,552,838 B2	04/22/2003		nsijn et a	l.		
	11	US-6,587,604 B2	07/01/2003		mauchi			
	12	US-6,600,843 B2	07/29/2003	Sr	iram et al			
	13	US-6,616,353 B1	09/09/2003		lkey			
	14	US-6,621,945 B2	09/16/2003		ssessur			
	15	US-6,625,348 B2	09/23/2003	-	liwala			
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Examiner Initials*	Foreign Patent Document Examiner Cite		Publication Date		Name of Patentee of Applicant of Cited Document	Pages, Columns, r Lines, Where Relevant Passages or Relevant Figures Appear	Т6	
Examiner						Date		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Signature

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Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

Complete if Known					
Application Number	10/678,367				
Filing Date	October 3, 2003				
First Named Inventor	Noureddine Melikechi				
Art Unit	Unknown				
Examiner Name	Unknown				
Attorney Docket No.	DSU-102US				

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	16	M. KAMEL AMARA, NOUREDDINE MELIKECHI; Enhancement of the coupling efficiency in optical fibers using a two-beam optical interference; Applied Physics Letters, May 13, 2002, Vol. 80, No. 19, pages 3494-3496; 2002 American Institute of Physics; USA	
	17	ZACHARY S. BENAICH, RANJIT D. PRADHAN, SHABBIR M. MIAN, and NOUREDDINE MELIKECHI; Effects of interference in quasiphase-matched periodically segmented potassium titanyl phosphate waveguides; Applied Physics Letters, November 22, 1999, Vol. 75, No. 21, pages 3261-3263; 1999 American Institute of Physics; USA	
	18	ABDULATIF Y. HAMAD, JAMES P. WICKSTED; Volume grating produced by intersecting Gaussian beams in an absorbing medium: a Bragg diffraction model; Optics Communications 138, June 1, 1997, pages 354-364; 1997 Elsevier Science B.V.	
	19	G. HUMSPERGER; Photonic Integrated Circuits; Photonic Devices and Systems, 1994, pages 346-359; Marcel Decker Inc.	
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Examiner Signature		Date Considered	<u> </u>

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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